
Application Form

1. General Information (To open a securities account, you **must submit a fully** and correctly completed registration form.)

Surname	First name
Date of birth	Place/country of birth
Nationality (if more than one state all)	
Address	
Post code/place of residence	Country
Phone	Mobile
E-mail	Occupation
Bank/Post Office for withdrawals (name, place, clearing no.)	
Account no., IBAN no. or PC account	

Please tick confirmation boxes (☒) on this and subsequent page where applicable

2. General acknowledgements

You hereby confirm,

- that you have read and understood the prospectus and the integrated Investment Regulations as well as the Key Investor Information Documents KIID (avadis.ch), the Guidelines and the information sheet on investment principles (enclosures).
- that you are aware of the risks associated with investing assets in investment funds.
- that neither Avadis Vermögensbildung SICAV nor its authorised distributors may be held liable for your investment decisions.
- that all of the information provided in this application form is complete and correct.**

Place, date _____

Signature _____

3. Confirmation of US tax status

In order to ascertain, in accordance with the FATCA Agreement between Switzerland and the USA, that your securities accounts with Avadis Vermögensbildung SICAV do not constitute US accounts pursuant to US tax regulations, **please confirm your compliance with the following requirements by ticking the boxes where appropriate and attaching your signature below.**

You hereby confirm that you are not a US national.

You hereby confirm that you are not a US resident for tax purposes because

- you do not hold an active US Green Card.¹⁾
- you do not pass the Substantial Presence Test.²⁾
- you are not considered as a US resident for tax purposes because you have not filed a joint US tax return with your spouse who is a US resident or a resident alien for tax purposes.
- no other reasons constitute your residency in the USA for US tax purposes.³⁾

Due to your compliance with the above requirements, your signature on this form confirms that you are not considered a US person for tax purposes. Should you fail to meet one or more of the requirements below, you may not subscribe to or acquire shares in Avadis Vermögensbildung SICAV.

4. Confirmation regarding tax liability in Switzerland

- You are liable to pay tax in Switzerland due to your exclusive domicile or main residence in Switzerland.
- The address provided under no. 1 is consistent with the tax domicile.

5. Confirmation of tax conformity

You hereby confirm that you have duly declared, and will continue to duly declare, the assets invested in Avadis Vermögensbildung SICAV as well as all associated income and capital gains, to the responsible (tax) authorities and that you are complying with the relevant (tax) regulations.

6. Confirmation of beneficial ownership

You hereby confirm that you are the sole beneficial owner of the assets and income associated with this application.

7. Change in circumstances

You hereby undertake to notify Avadis of your own accord within 30 days of any changes in your circumstances as specified in this form, especially any changes relating to your tax liability status abroad and changes in address. Should such change in circumstances result in you failing to meet all of the above requirements, especially those relating to your tax status and beneficial ownership, you hereby agree, by signing this form, that Avadis Vermögensbildung SICAV may carry out an enforced redemption of your shares **within 90 days.**

Costs may be charged for address searches and searches in connection with the prevention of dormancy.

Please check whether you have ticked all applicable confirmation boxes (X)

Place, date _____

Signature _____

¹⁾ After its activation, a US Green Card remains active for US tax purposes (even if the holder is domiciled outside the USA and even if the US Green Card itself has expired) until: (1) it has been returned voluntarily to the US immigration authorities or (2) it has been lawfully revoked either by the US immigration authorities or a US Federal court.

²⁾ You qualify as a US resident for tax purposes if you meet the criteria of the Substantial Presence Test. These criteria are met if you spent a minimum of 31 days in the current year **and** 183 days in the last three years (the current year and the two preceding years) in the USA. More detailed information on the calculation of the duration of stay is available at the following Internal Revenue Service (IRS) website: <http://www.irs.gov/taxtopics/tc851.html>

³⁾ Ownership of US real estate or interests in/receivables from US companies, e.g. unincorporated US firms, per se does not constitute US residency.

8. Desired investment strategy

- Stable** (100% Money market/Security No. 3283146)
- Bonds** (100% Bonds/Security No. 3283157)
- Defensive** (20% Equities, 80% Bonds/Security No. 3283161)
- Balanced** (40% Equities, 60% Bonds/Security No. 3283175)
- Growth** (60% Equities, 40% Bonds/Security No. 3283184)
- Aggressive** (80% Equities, 20% Bonds/Security No. 3283189)
- Equities** (100% Equities/Security No. 3283198)

Place, date _____

Signature _____

All applications must be accompanied by a CERTIFIED COPY OF AN IDENTITY DOCUMENT (passport, ID card, driver’s license).

Bodies authorised to certify identity documents: SBB, Swiss Post Office, bank, local/municipal administration, notary’s office, Avadis Vorsorge AG

9. Specimen signature (Please sign in the field)

How did you learn about Avadis Asset Growth?

- Event
- Internet
- Advertisement
- Employer
- Media
- Colleagues
- Other